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**FACSIMILE COVER LETTER**

**To:** Commissioner for Patents  
Examiner Nguyen, Huy Thanh

**Firm:** U.S. Patent and Trademark Office  
Art Unit 2621

**Facsimile:** (571) 273-8300

**From:** Thomas F. Presson

**Date:** October 26, 2006

**Re:** FLH Ref No.: 450100-03183  
Serial No: 09/843,415

**Number of Pages:** 17  
(including cover page)

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00407087

PATENT  
450100-03183

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 26 2006

Applicant(s) : Takeo MORINAGA et al.  
 Serial No. : 09/843,415  
 For : INFORMATION TRANSMITTING METHOD, INFORMATION PROCESSING METHOD  
 AND APPARATUS, AND INFORMATION RECORDING AND REPRODUCING METHOD  
 AND APPARATUS  
 Filed : April 26, 2001  
 Examiner : NGUYEN, Huy Thanh  
 Art Unit : 2821

COMMISSIONER FOR PATENTS  
 Alexandria, VA 22313-1450  
 Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below.  
☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

## Claims as Amended

| (1)                | (2)<br>Claims remaining<br>after amendment | (3)   | (4)<br>Highest number<br>previously<br>paid for | (5)<br>Present extra | (6)<br>Rate | (7)<br>Additional<br>fee |
|--------------------|--|-------|---|----------------------|-------------|--------------------------|
| Total claims       | 13   | Minus | 20 =  | 0 ×                  | \$50(25)    | = \$0                    |
| Independent claims | 4  | Minus | 5 =   | 0 ×                  | \$200(100)  | = \$0                    |
|                    |  |       | Total additional fee for<br>this amendment      |                      |             | = \$0                    |

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.  
 \*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.  
 \*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$360 (\$180) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a \_\_\_\_\_-month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A USPTO Form 2038 - Credit Card Payment Form in the amount of \$ \_\_\_\_\_ .00 is attached, which covers the cost of ☐ additional claims and ☐ -month petition for extension of time.
- ☐ Charge \$ \_\_\_\_\_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

## CERTIFICATE OF FACSIMILE

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Barnet Shindlman  
 (Name of person signing transmittal)

Signature

October 26, 2006  
 Date of Signature

FROMMER LAWRENCE & HAUG, LLP  
 Attorneys for Applicant(s)

By:

Thomas F. Prasseon  
 Reg. No. 41,442  
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00407084

U.S. Application Serial No. 09/843,415  
Reply to Office Action of August 24, 2006

PATENT  
450100-03183

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Takeo Morinaga, et al.

Serial No. : 09/843,415

For : INFORMATION TRANSMITTING METHOD,  
INFORMATION PROCESSING METHOD AND  
APPARATUS, AND INFORMATION RECORDING AND  
REPRODUCING METHOD AND APPARATUS

Filed : April 26, 2001

Examiner : Nguyen, Huy Thanh

Art Unit : 2621

Confirmation No. : 9347

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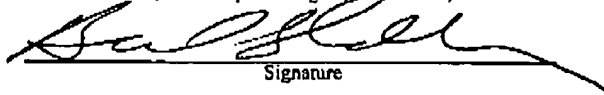
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Barnet Shindlman

(Name of person signing transmittal)



Signature

October 26, 2006

Date of Signature

**RESPONSE UNDER 37 C.F.R. §1.111**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the non-final Office Action mailed on August 24, 2006, having a  
three-month shortened statutory period for response set to expire on November 24, 2006, please  
consider the following remarks.

U.S. Application Serial No. 09/843,415  
Reply to Office Action of August 24, 2006

PATENT  
450100-03183

**A Listing of the Claims begins on page 3 of this paper.**

**Remarks/Arguments begin on page 9 of this paper.**